UCSB Fund Functioning as Endowment (FFE) Establishment Request

Academic/Administrative Unit: _____________________________________________________

Fund Administrator: _______________________________________________________________

Initial Sources of Funds to be used for FFE Establishment (all fund sources must have the same gift purpose):

<table>
<thead>
<tr>
<th>Fund Name</th>
<th>Account Number/Fund Source</th>
<th>Foundation Or Regents</th>
<th>Amount to be Transferred</th>
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Name of New UC Regents Fund: ______________________________________________________

Purpose of New UC Regents Fund:

____________________________________________________________________________

Note: Current General Ledger, a cover memo substantiating request, and documents delineating any donor restrictions must be attached to this form at the time of submission.

Preliminary Endorsement:
This request is in conformance with donor intent and consistent with academic/administrative objectives of the unit. All parties understand that proceeds shall be invested in approved endowment portfolios composed of stocks, bonds and alternative investments and that some level of risk is inherent in these portfolios.

1. Chair/Director ____________________________________________ Date:____________

2. Dean/Vice Chancellor ________________________________________ Date:____________

Review and Endorsement:
This request has been reviewed and is endorsed below for conformance with donor intent and university policy as it relates to Budget and Planning, Accounting and Institutional Advancement.

3. Campus Controller: ___________________________________________ Date:_______

4. IA–Finance & Administration: ________________________________ Date:____________

5. Campus Budget Officer: _______________________________________ Date:____________

Final Review and Approvals:
This request is approved as submitted and the offices of Budget and Planning, Accounting, and Institutional Advancement Finance and Administration are directed to take the necessary actions to implement the request. To provide maximum flexibility, the initial approval provides for a drawdown of up to 50% of the initial fund corpus without further Chancellor approval.

6. Chancellor ________________________________________________ Date:____________